

$$=A_0 + \sum_{k=1}^{\infty} A_k \cos(k\omega_0 t + \phi_k)$$

$$=\sum_{k=-\infty}^{\infty} X_k e^{jk\omega_0 t}$$

where X_k represents the discrete spectrum of x(t)

Fundamental Concepts(Cont.)

- x(t) is not periodic

$$x(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} X(j\omega) e^{j\omega t} d\omega$$

where $X(j\omega)$ represents the continuous spectrum of x(t)

- Physical meaning
 - Spectrum-shaping where number X_k or function X(jw) are altered in certain way in order to produce desired form of output signal y(t).
 - 2. If the filter is linear, the harmonic content can not be richer than that of the input signal
 - 3. Desired filter operation can be performed by the appropriate interconnection of elements with chosen values.

Historical Review

Example : Bell system progress in filter technology of voice-frequency (f < 4kHz) application over a nearly 60-years span(1920~1980)

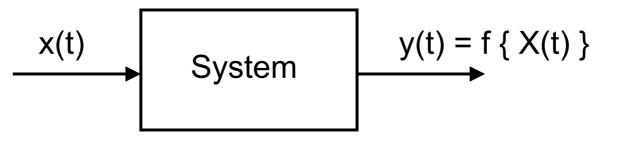
- 1920 Passive LC (1)
- 1969 Discrete active RC (1)
- 1973 Thin film active RC (1)
- 1975 Active RC DIP (1)
- 1980 Switched-capacitor building block (11) Digital signal processor (37)

(N) No. of biquardratic section

System classification

- Black box representation:
 - single-input, single-output system is a special case.
 For a filter, its enough.

input (or excitation) x(t); output (or response) y(t)



Black box

- For a filter, x(t) and y(t) are electrical signals, e.g. voltage, current, or charge.
- Filter is composed of lumped active and passive elements.
- A lumped element is defined as one having physical dimensions small compared to the wavelength of the applied signals.

System classification(Cont.)

- System classification
 - 1. linear and nonlinear systems.
 - 2. continuous-time and discrete-time (or sampled-data) systems.
 - 3. time-invariant and time-varying systems.(Linear time-invariant is abbreviated as LTI)
- A system is linear if superposition principle is satisfied.
 - superposition

$$y_1 = f(x_1)$$
; $y_2 = f(x_2)$; $x = \alpha x_1 + \beta x_2$

$$y = f(x) = f(\alpha x_1 + \beta x_2) = \alpha f(x_1) + \beta f(x_2) = \alpha y_1 + \beta y_2$$

- A linear system can be described by a linear differential or difference equation .
- For a filter , nonlinearity must be eliminated or minimized.
 e.g. overdrive an amplifier => nonlinearity occurs

Continuous-Time and Discrete-Time

Continuous-time

Input and output are continuous functions of the continuous variable time.

x=x(t) & y=y(t); t is time

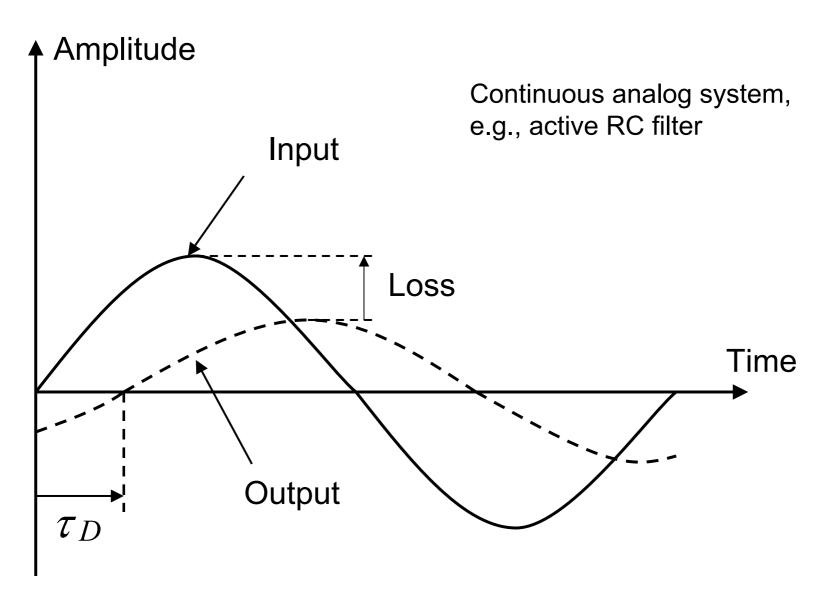
• Discrete-time

Input and output change at only discrete instants of time. (e.g. sampling instants)

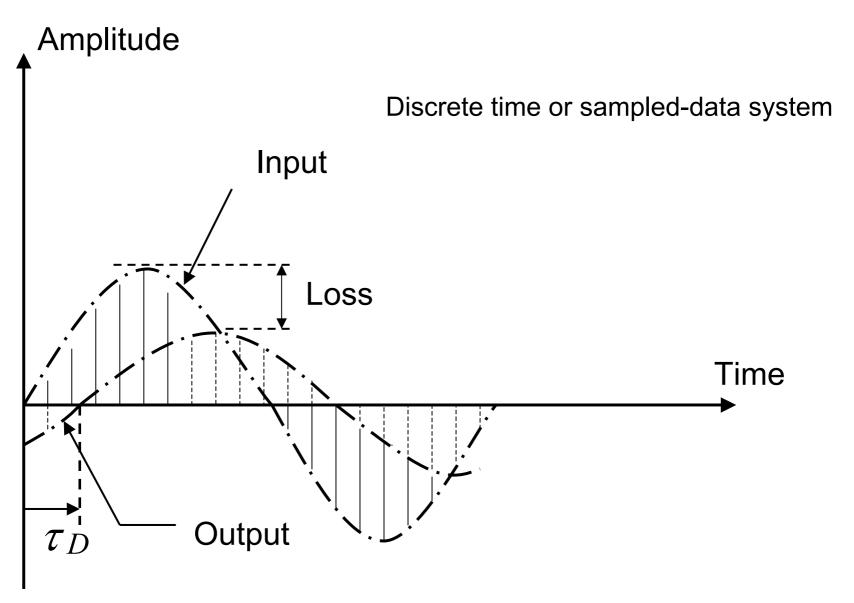
x=x(kT) & y=y(kT); where k is an integer and T is the time interval between samples

- Mathematical distinction
 - Continuous-time systems are characterized by differential equations.
 - Discrete-time systems are characterized by difference equations.

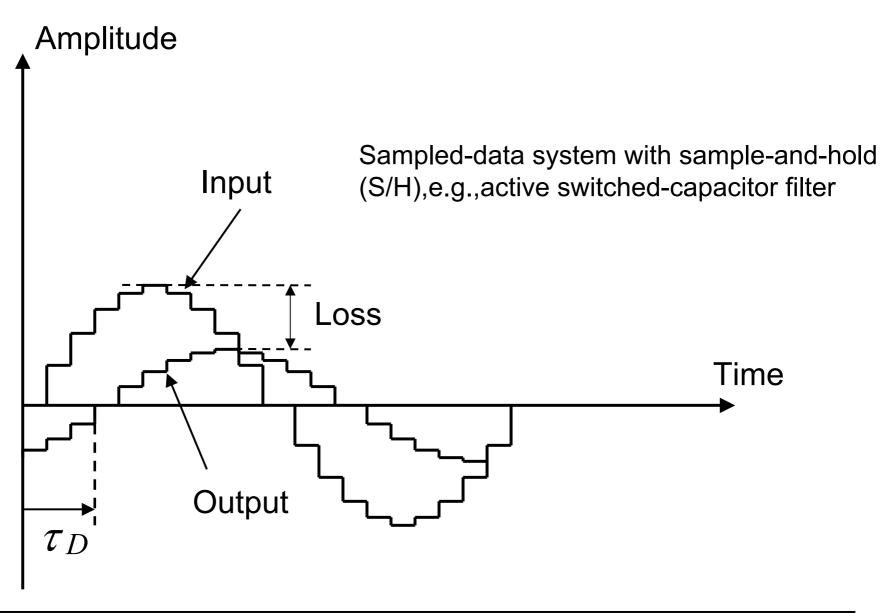
Continuous-Time and Discrete-Time (cont.)



Continuous-Time and Discrete-Time (cont.)



Continuous-Time and Discrete-Time(cont.)



Time-Invariant and Time-Varying Systems

- Time-invariant
 - Mathematical characteristic
 - A. Continuous-time systems

 $\begin{array}{l} x(t) => y(t) \\ x(t - \tau \) => y(t - \tau \) \\ \text{for all } x(t) \text{ and all } \tau \end{array}$

- B. Discrete-time systems x(kT) => y(kT) x[(k-n)T] => y[(k-n)T] for any x(kT) and n
- Physical meaning

System response depends only on the shape of input and not on the time of application .

Causal System

• Response can't precede the excitation

if x(t)=0 for t < t₀ or mT then y(t)=0 for t < t₀ or mT for all t₀ or mT

Representations of Continuous-LTI Systems

- Single-input, single-output, continuous LTI systems
 - Input/output relationship (linear differential equation)

$$b_n \frac{d^n y(t)}{dt^n} + b_{n-1} \frac{d^{n-1} y(t)}{dt^{n-1}} + \dots = a_m \frac{d^m x(t)}{dt^m} + a_{m-1} \frac{d^m x(t)}{dt^{m-1}} + \dots$$

where (i) y(t) is output (ii) a_i and b_i are real and depend on the network elements (a) LTI: a_i and b_i are constant. (b) nonlinear: a_i and b_i are functions of x and/or y. (c) time-dependent: a_i and b_i are functions of time. - If x(t) and initial conditions $y(0), \frac{dy(0)}{dt}, ..., \frac{dy^{n-1}(0)}{dt^{n-1}}$ are known, then y(t) is completely determined.

Representations of Continuous-LTI Systems(Cont.)

• Zero-input response

Response obtained when the input is zero. (Response is not necessarily zero because initial conditions may not be zeros)

• Zero-state response

Response obtained for any arbitrary input when all initial conditions are zero.

• For a linear system, the complete response is equal to the sum or superposition of the zero-input and zero-state responses.

Frequency-Domain Concepts

 Laplace transform techniques can be used to transfer timedomain differential equations into frequency domain equations, e.g.

$$L\left\{\frac{d^{n} y(t)}{dt^{n}}\right\} = S^{n}Y(s) - S^{n-1}y(0) - S^{n-2}\frac{dy(0)}{dt} \dots - \frac{d^{n-1}y(0)}{dt^{n-1}}$$

Hence, $b_{n}\frac{d^{n} y(t)}{dt^{n}} + b_{n-1}\frac{d^{n-1}y(t)}{dt^{n-1}} + \dots + b_{0}y_{0}(t)$
$$= a_{m}\frac{d^{n}x(t)}{dt^{n}} + \dots + a_{0}x_{0}(t)$$
 (for a LTI system

can be transformed into

 $(b_n S^n + b_{n-1} S^{n-1} + b_{n-2} S^{n-2} \dots + b_0) Y(s) + IC_y(s)$ = $(a_m S^m + a_{m-1} S^{m-1} + a_{m-2} S^{m-2} \dots + b_0) X(s) + IC_x(s)$ where IC_y(s) and IC_x(s) are from initial conditions of y and x. X(s) and Y(s) are excitation and zero-state response. Frequency-Domain Concepts(Cont.)

• Transfer function H(s) of network

 $H(S) = \frac{L(zero - state \ response \ y(t))}{L(excitation \ x(t))} = \frac{Y(S)}{X(S)} = \frac{a_m S^m + \dots}{b_n S^n + \dots} = \frac{N(S)}{D(S)}$

where $m \le n$ for any realizable practical network.

Transfer function

$$\frac{V_{out}(s)}{V_{in}(s)}$$
; voltage transfer function

$$\frac{V_{out}(s)}{I_{in}(s)}$$
; impedance transfer function

$$\frac{I_{out}(s)}{V_{in}(s)}$$
; admittance transfer function

Driving-point impedance and admittance functions

$$Z_{in}(s) = \frac{V_{in}(S)}{I_{in}(S)}$$
 & $Y_{in}(s) = \frac{I_{in}(S)}{V_{in}(S)}$; where $Y_{in}(s) = \frac{1}{Z_{in}(s)}$

Frequency-Domain Concepts(Cont.)

- System analysis
 - 1. Time-domain differential equation.
 - 2. Frequency-domain equation(i.e. S-domain equation).(2 is proved to be a more convenient method from experience).
- Transfer function of continuous LTI
 - A ratio of two polynomials in S with real coefficients.
 - Can be factored as

$$H(S) = \frac{N(S)}{D(S)} = \frac{a_m(S - Z_1)(S - Z_2)...(S - Z_n)}{b_n(S - P_1)(S - P_2)...(S - P_n)}$$

where Z_i are zeros (H(S)=0 when S = Z_i) P_i are poles (D(S)=0 when S = P_i)

– Z_i , $P_i = \sigma$ +jw on complex S-plane.

Frequency-Domain Concepts(Cont.)

- Transfer function with real coefficient
 Poles and zeros are real or conjugate pairs (complex or imaginary).
- For stability, all poles must lie in the left plane.
 - (i.e. D(s) is a Hurwitz polynomial)
- Poles lie in the left plane
 zero input response decays with time
- Poles lie on the jw-axis
 - \Box the network oscillates
- Poles lie in the right plane

 \Box responses grow exponentially with time

- when zero of N(S) lie on or to the left of the jw-axis.

(i.e. there are no right-plane zeros), H(S) is referred to as a minimum-phase function.

Time-Domain Concepts

• Continuous LTI systems (system representation)

A. differential equation

$$b_n \frac{d^n y(t)}{dt^n} + b_{n-1} \frac{d^{n-1} y(t)}{dt^{n-1}} + \dots = a_m \frac{d^m x(t)}{dt^m} + a_{m-1} \frac{d^{m-1} x(t)}{dt^{m-1}} + \dots$$

B. convolution or superposition integral
 – convolute excitation x(t) with impulse response h(t)
 to obtain y(t)

$$y(t) = \int_0^t h(\lambda) x(t-\lambda) d\lambda$$

where λ is a dummy integral variable and we assume that the system is causal and x(t)=0 for t<0.

Time-Domain Concepts(Cont.)

h(t) is impulse response

Assume
$$x(t) = \delta(t)$$
, then
 $y(t) = \int_0^t h(\lambda)\delta(t-\lambda)d\lambda = h(t)\int_0^t \delta(t-\lambda)d\lambda = h(t)$

(definition :
$$\int_0^t \delta(t-\lambda) d\lambda = 1$$
 with $\delta(t-\lambda) = 0$ for $\lambda \neq t$)

- convolution v.s. frequency-domain representation

$$Y(S) = L\{y(t)\} = \int_0^t e^{-st} \left[\int_0^t x(t-\lambda)h(\lambda)d\lambda\right]dt = H(S)X(S)$$

where transfer function $H(S) = L\{y(t)\} = \int_0^\infty h(t)e^{-st}dt$

Ideal Distortionless Transmission

- y(t) is perfect replica of x(t); may be with amplification k and delay τ_0 .

$$y(t) = kx(t - \tau_0)$$
input
input
$$Y(S) = L\{y(t)\} = ke^{-S\tau_0}X(S)$$
output
$$H(S) = Ke^{-S\tau_0}$$

$$\tau_0$$

- Where 1. H(S) has $\begin{cases} \text{ constant magnitude K} \\ \text{ linear phase } \phi = -\omega \tau_0 \end{cases}$

2. H(S) is not a real rational function

 \implies not realizable as a lumped network with a finite number of elements.

3. group delay
$$\tau(\omega) = \tau_0 = constant$$

Ideal Distortionless Transmission(Cont.)

- From 2, method for approximating the above $H(S) = Ke^{-ST_0}$ by a rational function must be developed such that it becomes realizable physically.
- Approximation method results in transmission errors since any physical network has in practice frequencydependent magnitude and delay.
- Two method to define deviations from an ideal transmission:
 - 1. step response.
 - 2. impulse response.

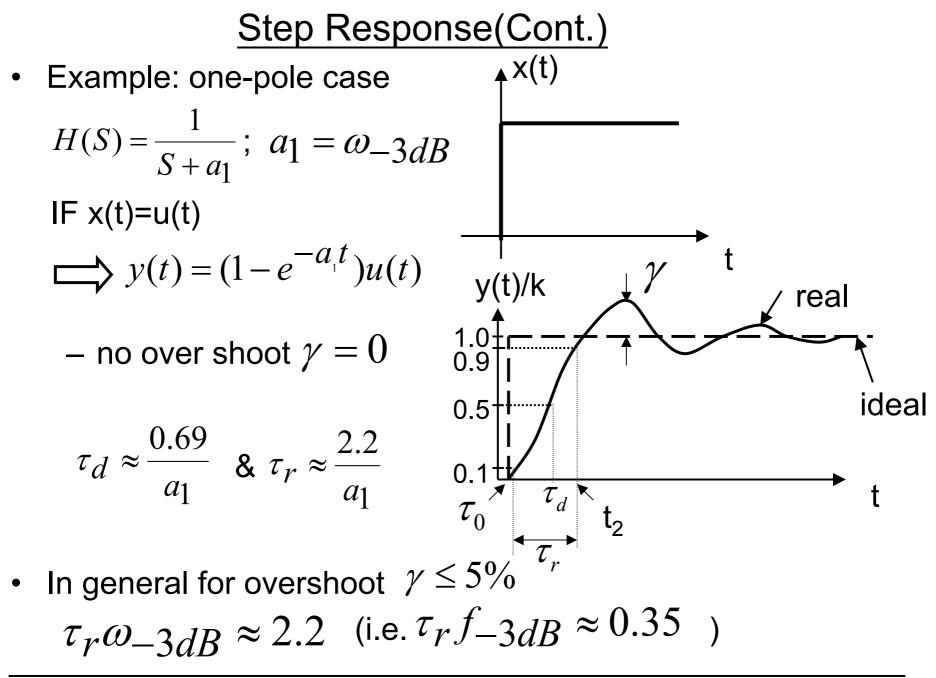
Step Response

• Ideal transmission $H(S) = Ke^{-S\tau_0}$

> where H(S) is a physically unrealizable transfer function step input : $x(t) = u(t) \implies X(S) = \frac{1}{S}$ output step function(step responses): a(t)

$$\implies y(t) = a(t) = L^{-1}\{H(S)X(S)\} = ku(t - \tau_0)$$

- Delay time au_d & rise time au_r :
 - τ_d : time required for step response to reach 50% of its final value
 - τ_r : time required for step response to rise for 10% to 90%
 - γ : overshoot



Impulse Response

• Ideal transmission

$$X(t) = \delta(t)$$

$$H(s) = ke^{-S\tau_{o}} ; \text{ unrealizable}$$

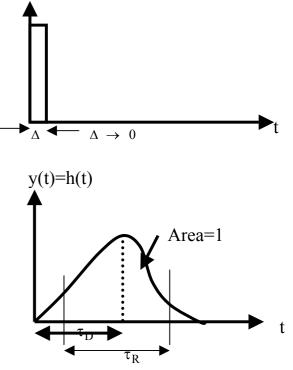
$$y(t) = h(t) = k\delta(t - \tau_{0})$$

$$- \text{ Impulse response } h(t) = k\delta(t - \tau_{0})$$

$$- \text{ step response } a(t) = ku(t - \tau_{0})$$

$$=> h(t) = \frac{da(t)}{dt}$$

• One can obtain impulse response from step response, and vice versa .



Calculation of τ_D and τ_R

- Precise calculation of $\tau_D~$ and $\tau_R~$ are usually time-consuming
- Convenient method resulting in considerable simplification is proposed by Elmore(Assuming negligible overshoot or none)
 - Elmore's definition

$$\tau_{\rm D} = \int_0^\infty t \, h(t) dt \tau_{\rm R} = [2\pi \int_0^\infty (t - \tau_{\rm D})^2 h(t) dt]^{\frac{1}{2}} = \sqrt{2\pi} [\int_0^\infty t^2 h(t) dt - \tau_{\rm D}^2]^{\frac{1}{2}}$$

- Consider the normalized transfer function (H(0)=1)
 - $-H(s) = \frac{1 + a_1 s + a_2 s^2 + \dots + a_m s^m}{1 + b_1 s + b_2 s^2 + \dots + b_n s^n} \quad n \ge m$
 - by direct division $H(S) = 1 (b_1 a_1)s + (b_1^2 a_1b_1 + a_2 b_2)s^2 + ...$ (1)

$$H(s) = \int_0^\infty h(t)e^{-st} dt = \int_0^\infty h(t)(1-st + \frac{s^2t^2}{2!} - \dots)dt$$

(by incorporating Elmore's definitions)

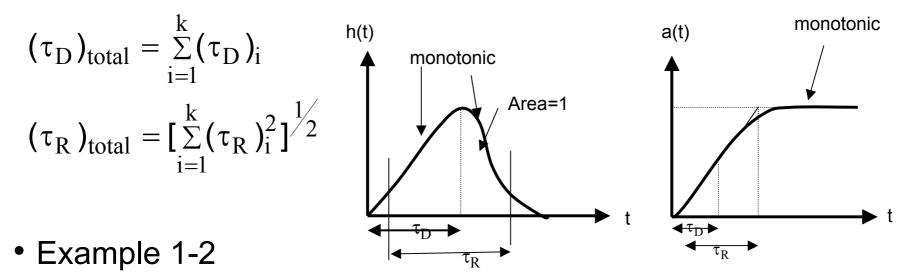
$$= 1 - s\tau_{\rm D} + \frac{s^2}{2!} \left(\frac{\tau_{\rm R}^2}{2\pi} + \tau_{\rm D}^2 \right) - \dots$$
 (2)

Calculation of τ_D and τ_R (Cont.)

- Equating (1) and (2) yields

 $\tau_{\rm D} = b_1 - a_1 \qquad (3)$ $\tau_{\rm R} = \{2\pi [b_1^2 - a_1^2 + 2(a_2 - b_2)]\}^{\frac{1}{2}} \qquad (4)$

- Ease of computation using Elmore's definition
 - For higher-order systems with no overshoot (i.e.monotonic) can be decomposed to K monotonic cascaded stages.



Normalization

- Advantages
 - 1. avoid the tedium of having to manipulate large power of 10
 - 2. minimize the effect of roundoff errors.
- Normalization
 - 1. Frequency normalization
 - -Frequency scale changed by dividing the frequency variable by a conveniently chosen normalization frequency Ω_0

Normalization equation $S_n = \frac{S}{\Omega_0}$

- 2. Impedance normalization
 - -by dividing all impedances in the circuit by a normalization resistance R_0 . $R_n = \frac{R}{R_o}$, $C_n = C_o R_o$, $L_n = \frac{L}{R_o}$ -Normalization equation

$$\begin{aligned} & \frac{\text{Normalization(Cont.)}}{R_n = \frac{R}{R_0}}, \ S_n L_n = \frac{SL}{R_0} = \frac{(S/\Omega_0)\Omega_0 L}{R_0}, \ \frac{1}{S_n C_n} = \frac{1}{SCR_0} = \frac{1}{(S/\Omega_0)\Omega_0 CR_0} \\ = > R_n = \frac{R}{R_0}, \ L_n = L\frac{\Omega_0}{R_0}, \ C_n = C\Omega_0 R_0 \end{aligned}$$

- The actual unnormalized physical parameters R, L, and C are obtained by inverting the normalization equations.
- Comments(practical concerns):
 - 1. normalization is to remove dimensions
 - (S_n, R_n , L_n and C_n are dimensionless)

=>Easy remember.

2. Dimensionless network

=>designer can choose convenient and practical element values.

• Example 1-3

Type of Filters

- Five major types 1. Lowpass filter

 - 2. Highpass filter
 - 3. Bandpass filter
 - 4. Bandreject filter

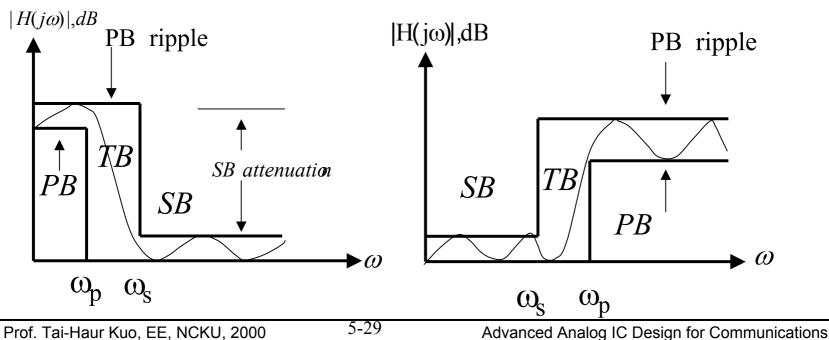
Magnitude spec.

are of primary

concern

5. Allpass filter--phase or delay specs are of primary concern.

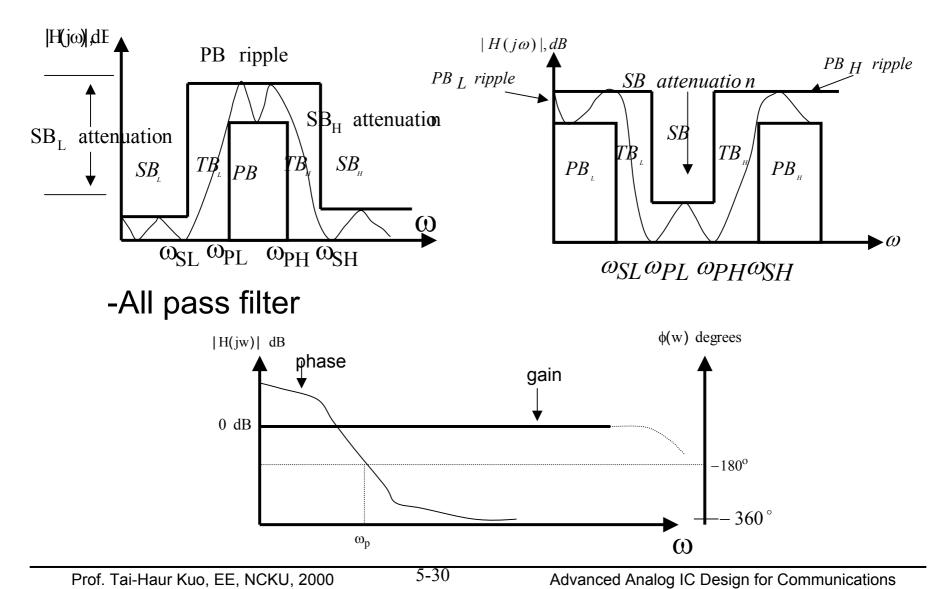
Filter magnitude specifications -Lowpass filter -Highpass filter



Type of Filters(Cont.)

-Bandpass filter

-Bandreject filter



Filter Phase or Delay Specs.

- Frequency dependent delay
 - Usually not important for voice or audio.(Human ear is very insensitive to phase change with frequency.)
 - Can cause intolerable distortion in video or digital transmission
 - =>Nonminimum phase function may be needed
- Minimum phase function : with only left half-phase zeros
- Examples :
 - 1. Realization of nonminimum phase function

(i) Let
$$H_N(s) = H_M(s)H_{AP}(s)$$

where $|H_M| = |H_N|$

N: nonminimum phase

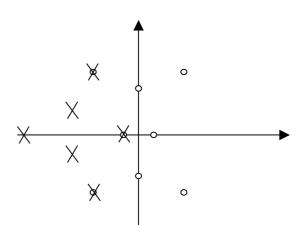
M: minimum phase

AP: all-pass

Filter Phase or Delay Specs.(Cont.)



(iii) $H_{AP} = \frac{N_{AP}(s)}{D_{AP}(s)}$ is a allpass function



where N_{AP} is formed by all right-plane zeros ^D_{AP} is formed by all left-plane poles which are mirror images of the right-plane zeros Hence, N $_{AP} = \pm D _{AP} (-s)$ H_{AP} = $\pm \frac{D_{AP} (-s)}{D_{AP} (s)}$ phase $\phi_{AP} = -2 \tan^{-1} \frac{D_{I}(w)}{D_{R}(w)}$ where $D_{I}(w) = \text{Im}[D_{AP}(jw)]$ $D_{R}(w) = \text{Re}[D_{Ap}(jw)]$ Delay $\tau(w) = -\frac{d\phi_{AP}(w)}{dw}$

5-32

Filter Phase or Delay Specs.(Cont.)

-Using $H_{AP}(s)$, a desirable delay function without any effect on the magnitude can be achieved.

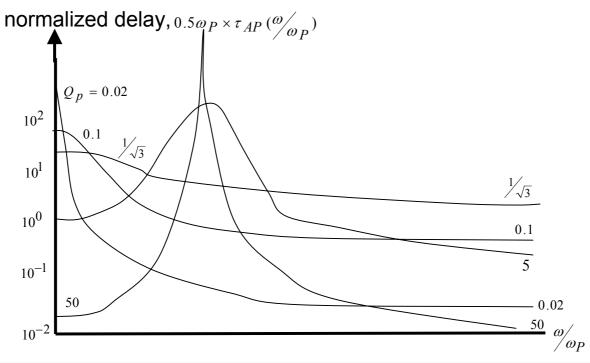
-Example

 $H_{T}(s) = H(s)H_{AP}(s)$ where $|H_{T}(s)| = |H(s)|$ $\phi_{T}(w) = \phi(w) + \phi_{AP}(w)$ $\tau_{T}(w) = \tau(w) + \tau_{AP}(w)$

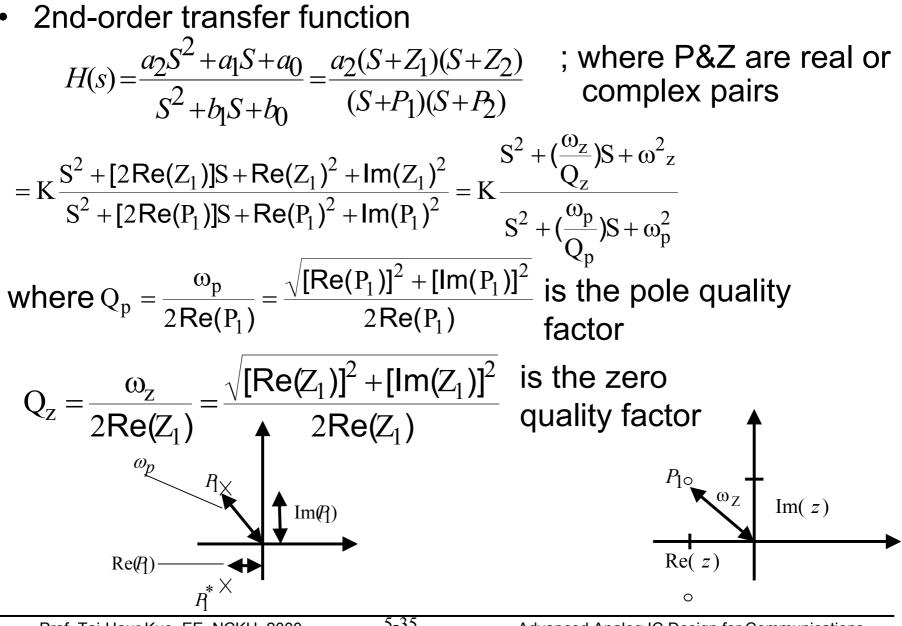
- The cascaded allpass can, of course, only increase the phase and delay of H(s); this is normaly no problem, because for distortionless transmission, only the linearity of ϕ_T i.e., the constancy of τ_T , in the frequency range of interest is important, not its actual size.
- Example 1-4

Filter Phase or Delay Specs.(Cont.)

- 2. Phase or delay equalization
- (i) make total delay as flat as possible in the frequency range of interest.
- (ii) obtain prescribed delay
 - -Precision design requires computer aids.
 - -Uncritical design of low order($\frac{\Delta \tau}{\tau} \approx 10 \sim 20\%$) can be performed manually with the aid of the curves below.



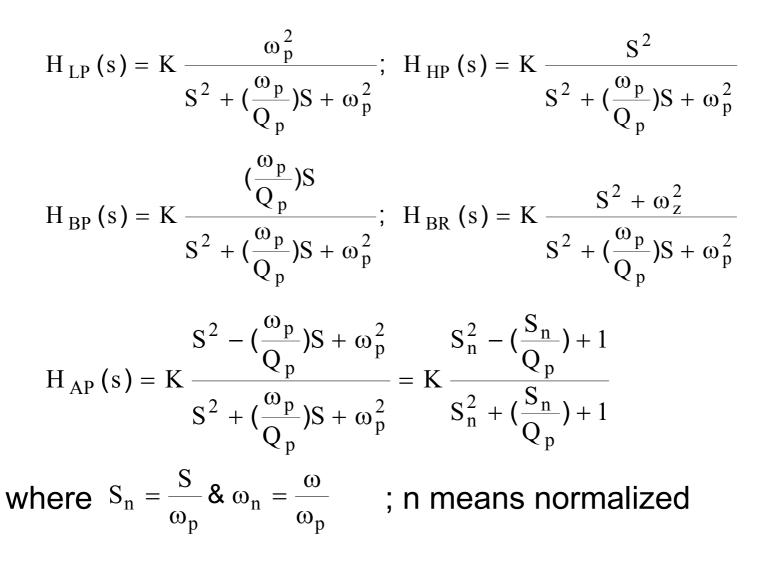
Second Order Filters



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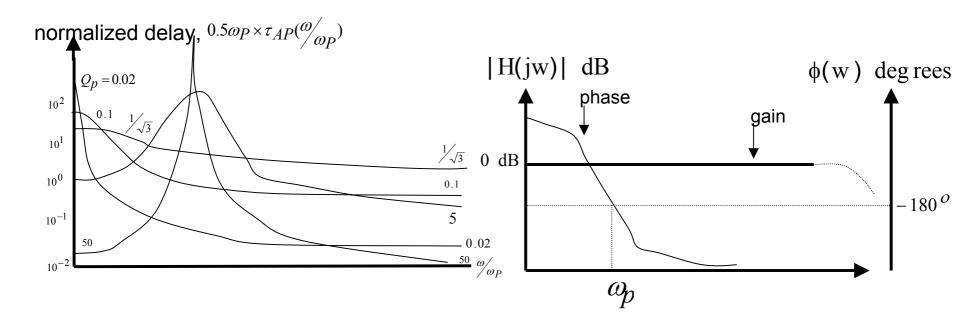
Second Order Filters(Cont.)



$\frac{\text{Second Order Filters(Cont.)}}{\omega_n}$ $- \text{Normalized phase } \phi_{AP}(\omega_n) = -2 \tan^{-1}(\frac{\sqrt{Q_p}}{1-\omega_n^2})$

- normalized delay

$$\tau_{n,AP}(\omega_n) = \omega_p \tau_{AP}(\omega_n) = \frac{2}{Q_p} \frac{1 + \omega_n^2}{(1 - \omega_n^2)^2 + (\omega_n Q_p)^2}$$



Approximation Methods

• Distortionless filter is not realizable as discussed before.

(i.e. Ideal transfer characteristic are not realizable)

- Practical realization
 - 1. H(s) must be a real rational function such that it can be realized by lumped circuits as discussed before.
 - 2. H(s) is only a approximation of ideal characteristics on both magnitude and phase/delay.
- Magnitude approximation (If phase or delay performance is important, allpass filter can be used to achieve the necessary phase correction.
 - 1. Butterworth response : maximally flat magnitude in the passband.
 - 2. Chebyshev response : equal ripple in the passband.
 - 3. Elliptic response : equal ripple in both the passband and stopband.(Low order and the most economical realization)

Approximation Methods(Cont.)

- 4. Gaussian response :
 - (a) freedom from ringing or overshoot.
 - (b) symmetry about the time for which the response is a maximum.
- 5. And many others
- Phase or delay approximation Bessel-Thomson response : maximally flat delay.

Example
Butterworth
$$|H(jw)|^2 = \frac{1}{1 + \varepsilon^2 \omega^{2n}}$$

Assume $\varepsilon = 1$ $|H(s)|^2 = \frac{1}{1 + \omega^{2n}} = \frac{1}{1 + (\frac{s}{j\Omega_0})^{2n}}$
Assume $\Omega_0 = 1$ (i.e.normalized)

$$|H(s)|^{2} = \frac{1}{1-S^{2n}}, |H(s)|^{2} = H(S)H(-S) = \frac{1}{1-S^{2n}}$$

- 1. For n=1 H(S)H(-S) = $\frac{1}{1-S^2} = (\frac{1}{1+s})(\frac{1}{1-S})$
- => $H(S) = (\frac{1}{1+s})$ (Only the left-plane pole is selected) The denominator of realizable filter function must be Hurwitz polynomials

Example(Cont.)

2. For n=2

$$H(S)H(-S) = \frac{1}{[S + \frac{1}{\sqrt{2}} + \frac{j}{\sqrt{2}}][S - \frac{1}{\sqrt{2}} + \frac{j}{\sqrt{2}}][S + \frac{1}{\sqrt{2}} - \frac{j}{\sqrt{2}}][S - \frac{1}{\sqrt{2}} - \frac{j}{\sqrt{2}}]}$$

=> $H(S)H(-S) = \frac{1}{[S + \frac{1}{\sqrt{2}} + \frac{j}{\sqrt{2}}][S + \frac{1}{\sqrt{2}} - \frac{j}{\sqrt{2}}]}$

- Similarly transfer functions of other types can be derived.
- Refer to appendix III

Frequency Transformations

highpass

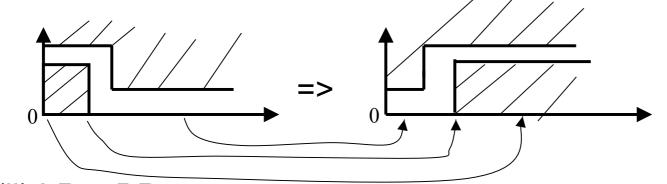
- Lowpass prototype => bandpass bandreject
 - Lowpass prototype $\overline{S} = \overline{a} + j\overline{w}$ frequency variable \overline{s} which is normalized such that $\overline{w} = 1$ at the edge of the lowpass passband
 - Target filter S=a+jw
 - Frequency transformation $\bar{s} = F(s)$ such that

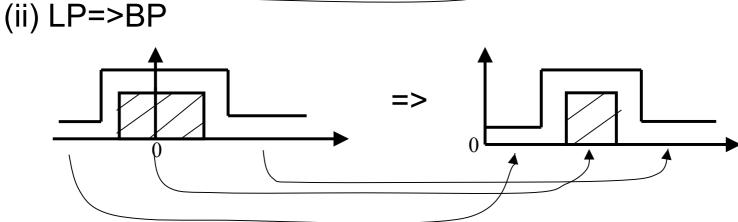
$$0 \le |w| \le 1 \xrightarrow{\text{mapped to}} \text{passband of target filter}$$

 $|w| > 1 \xrightarrow{\text{mapped to}} \text{stopband of target filter}$

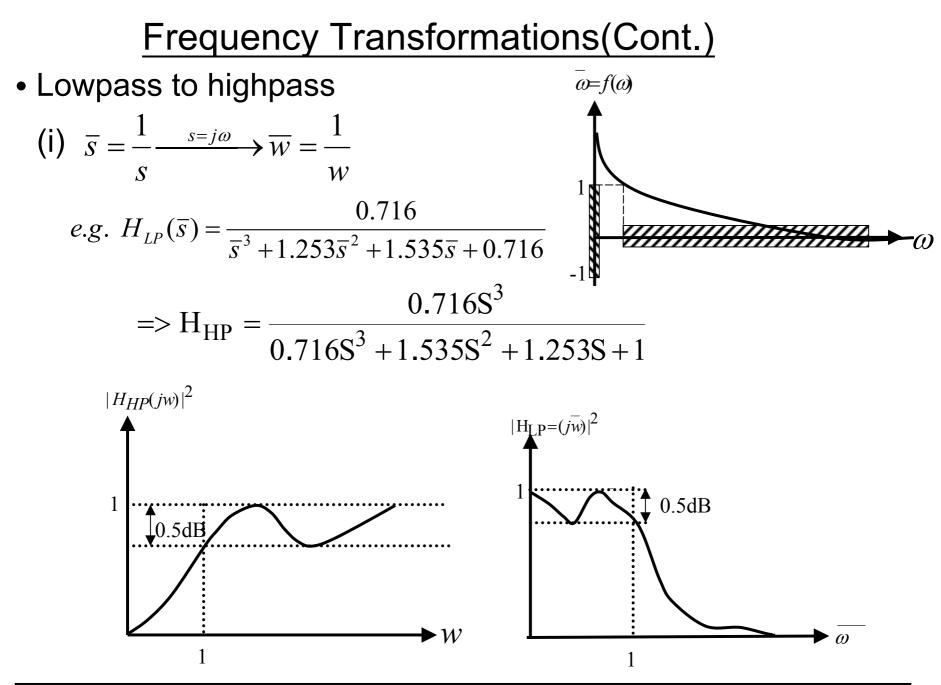
Frequency Transformations(Cont.)

- Examples
 - (i) LP=>HP





(iii) LP=>two-passband BP

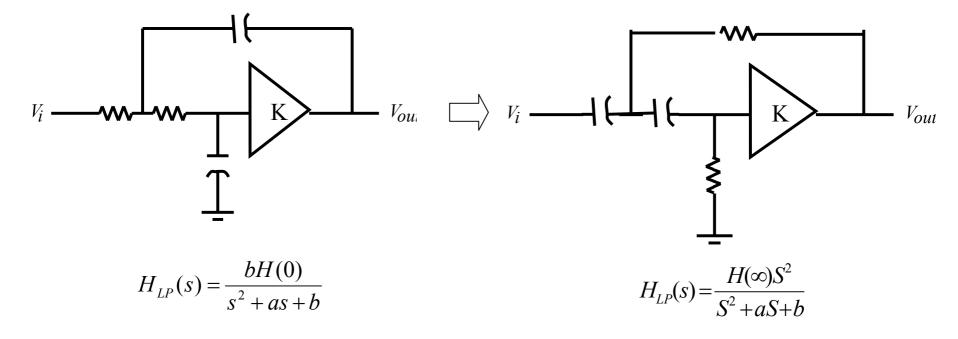


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Frequency Transformations(Cont.)

(ii) RC : CR transformation



Frequency Transformations(Cont.)

- LP=>BP $\overline{S} = \frac{\Omega_0 \ S^2 + 1}{B \ S} = Q \frac{S^2 + 1}{S} \xrightarrow{s = j\omega} \overline{w} = Q \frac{\omega^2 - 1}{\omega}$ where $\Omega_0 = \sqrt{\Omega_1 \Omega_u} \& B = \Omega_u - \Omega_1$ $\omega = f(w)$ +1 WI ► W -1 Wu
 - Example 1-14
- LP=>BR

$$\overline{S} = \frac{1}{Q} \frac{S}{S^2 + 1} \xrightarrow{S=jw} \overline{\omega} = -\frac{1}{Q} \frac{\omega}{\omega^2 + 1}$$

- Example 1-15